



# COMPLIANCE INSTITUTE

SOUTHERN AFRICA

## COMPLIANCE RISK MANAGEMENT PLANS (CRMPs) Training outline

Cost per person Incl. vat	CPD Points and Accreditation	Accreditations/Partnerships /Memberships	Method of Delivery / Format	Duration
Refer to the latest advertised events for current rates or as quoted	12 hours	n/a	Virtual via Microsoft Teams	3 days – 4 hrs per day

### Course Objectives

Compliance Risk Management Plans (CRMP's) form the basis of any compliance risk management program. By using CRMP's you improve the effectiveness of your compliance risk management process. The course focuses on the practical application of the CRMP process, and covers principles, methods, tools and options.

A Compliance Risk Management Plan (CRMP) is phase 2 of the compliance risk management process. It follows the compliance risk identification phase 1 when the compliance universe and risk profile are identified.

Implemented effectively, will help to improve the effectiveness of your organisation's compliance risk management process.

This course focuses on the practical application of the CRMP process, and covers principles, methods, tools and options.

### Prerequisites

We highly recommend that you complete our:

- Introduction to compliance course that covers Phase 1 of the compliance risk management process, i.e. Compliance risk identification.
- Understand the GACP

### Who should attend

- All compliance officers
- Compliance officers tasked with developing a compliance risk management plan
- Consultants advising clients on managing their compliance risk
- Individuals that are new to compliance and seek to understand compliance risk management processes
- Risk managers

### What is covered

- What a compliance risk management plan (CRMP) is
- How to compile, review, maintain and use CRMPs

- How to evaluate and assess the effectiveness of your compliance risk management process
- Identify the key principles and considerations in respect of compliance materiality levels
- To identify and recommend changes and improvements to the compliance risk management process to ensure effectiveness

#### Format of the course

- The training is held in a classroom style, either virtually or face-to-face.
- Theoretical training.
- Case studies form part of the learning process. <sup>[OBJ]</sup>

#### What is next?

- Control identification and risk assessment
- Monitoring

#### Bio of presenter/s:



#### Daryl Glass

Daryl worked at the Liberty Group between 1992 and 2007 and served in various capacities including as Head of Forensic Services and Head of Group Compliance and Group Compliance Officer for all entities within the Group.

Since 2007, Daryl has run a business consultancy practice whose main focus is on Governance, Risk, Compliance and Forensic services. He has provided services to a broad range of South African and international clients within both the private and public sectors across various industries. Daryl's focus is to equip and enable organisations, their governing bodies and management to efficiently and effectively manage all forms of risk and meet relevant leading practice requirements. He led the development of the Public Sector Compliance Framework as a consultant to National Treasury.

Daryl participates extensively in the development and delivery of the core compliance training programmes of the Compliance Institute Southern Africa and also previously served as a Director of the Institute between 1999 and 2006. Daryl has and does serve in various capacities on or as an advisor to the boards of several other organisations.

Daryl holds the CProf (SA) designation and has a BCompt, MBA and Post-graduate diploma in Compliance Management.