



# COMPLIANCE INSTITUTE

SOUTHERN AFRICA

## COMPLIANCE RISK MANAGEMENT PLANS (CRMPs) Training outline

Cost per person Incl. vat	CPD Points and Accreditation	Accreditations/Partnerships /Memberships	Method of Delivery / Format	Duration
Refer to the latest advertised events for current rates or as quoted	12 hours	n/a	Virtual via Microsoft Teams	3 days – 4 hrs per day

### Course Objectives

Compliance Risk Management Plans (CRMP's) form the basis of any compliance risk management program. By using CRMP's you improve the effectiveness of your compliance risk management process. The course focuses on the practical application of the CRMP process, and covers principles, methods, tools and options.

A Compliance Risk Management Plan (CRMP) is phase 2 of the compliance risk management process. It follows the compliance risk identification phase 1 when the compliance universe and risk profile are identified.

Implemented effectively, will help to improve the effectiveness of your organisation's compliance risk management process.

This course focuses on the practical application of the CRMP process, and covers principles, methods, tools and options.

### Prerequisites

We highly recommend that you complete our:

- Introduction to compliance course that covers Phase 1 of the compliance risk management process, i.e. Compliance risk identification.
- Understand the GACP

### Who should attend

- All compliance officers
- Compliance officers tasked with developing a compliance risk management plan
- Consultants advising clients on managing their compliance risk
- Individuals that are new to compliance and seek to understand compliance risk management processes
- Risk managers

### What is covered

- What a compliance risk management plan (CRMP) is
- How to compile, review, maintain and use CRMPs

- How to evaluate and assess the effectiveness of your compliance risk management process
- Identify the key principles and considerations in respect of compliance materiality levels
- To identify and recommend changes and improvements to the compliance risk management process to ensure effectiveness

### Format of the course

- The training is held in a classroom style, either virtually or face-to-face.
- Theoretical training.
- Case studies form part of the learning process. <sup>[OBJ]</sup>

### What is next?

- Control identification and risk assessment
- Monitoring

### Bio of presenter/s:



**Lelanie Naude**

Lelanie is a self-confessed compliance nerd, with tremendous passion for people-connection. She holds 20 years of experience in the financial services industry, of which 16 years have been spent in various compliance risk management roles. She gained hands-on experience across all lines of assurance, with roles including that of Compliance Specialist at business unit, cluster and group levels, Compliance Project SME, Compliance Auditor and Compliance Framework Specialist, the latter including provision of in-house training to compliance teams.

In addition to a B.Com (Law) degree, she holds a post-graduate diploma in Compliance Risk Management, and has been awarded the C.Prac(SA) designation in 2016. She is also an accredited work experience assessor, and supports the Compliance Institute with young professional mentorship support, work experience evaluations, board examination processes and training in her capacity as independent consultant. Her compliance qualifications are supplemented with various personal development accreditations, including an Education and Training Development Practitioner accreditation. She is at her happiest selling compliance as a business enabler, and is passionate about empowering knowledge share in the training space, where she gets to combine her two greatest passions of compliance and people.

In 2020 she founded an empowerment business, and now focusses her energy and attention on her passion for people development. Her focus largely relates to general personal development and self-empowerment offerings to cater for the unique needs of her clients, however Compliance support to the compliance industry remains a key-focus. In addition to consultation with the Compliance Institute, she also offers compliance services to smaller organisations with undeveloped compliance functions, and provides consultative guidance and training to compliance teams in these organisations.